



File

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : D. Hochrainer et al. ) Art Unit: 3727  
U.S. Appln. No. : 10/815,531 ) Examiner: To be assigned  
Confirmation No. : 3470  
U.S. Filing Date : April 1, 2004  
Title of Invention : Pressure Compensation Device for a Two-Part Container  
Attny. Docket No. : 1/1072 PCT-1-C1

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

August 20, 2004

**TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT**

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement (Form 1449A/B) under 37 C.F.R. §§1.56 and 1.97, as more specifically described hereinbelow.

☒ 1.97(b). This Statement is being filed: i) within three (3) months of the filing date of a national application other than a continued prosecution application under 33 C.F.R. §1.53 (d); ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; iii) before the mailing of a first Office action on the merits; or iv) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of: i) a final action under 37 C.F.R. §1.113, ii) a notice of allowance under 37 C.F.R. §1.311, or iii) an action that otherwise closes prosecution in the application. This Statement is being accompanied by:

☐ A statement as specified in 37 C.F.R. §1.97(e) [see below]; or

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.97(d). This Statement is being filed after the period specified in 37 C.F.R. §1.97(c) but on or before payment of the issue fee. This Statement is accompanied by a statement as specified in 37 C.F.R. §1.97(e) [see below] and the fee set forth in 37 C.F.R. §1.17(p).

☐ 1.97(e).

☐ Each item of information contained in the instant information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the instant information disclosure statement; or

☐ No item of information contained in the instant information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the instant information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of the instant information disclosure statement.

☐ The fee set forth in 37 C.F.R. §1.17(p).

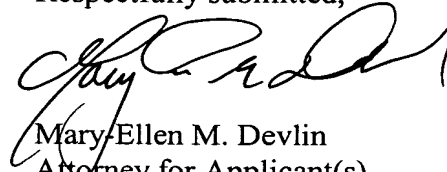
☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.704(d). Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, which communication was not received by any individual designated in section 1.56(c) more than thirty (30) days prior to the filing of the accompanying information disclosure statement.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 02-2955.

Triplicate copies of this form are enclosed.

Respectfully submitted,



Mary-Ellen M. Devlin  
Attorney for Applicant(s)  
Reg. No. 27,928

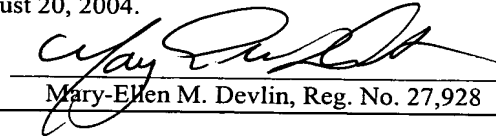
Patent Department  
Boehringer Ingelheim Corp.  
900 Ridgebury Road, P.O. Box 368  
Ridgefield, CT 06877  
Tel: (203) 798-4866

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Mary-Ellen M. Devlin, Reg. No. 27,928

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

3

Attorney Docket Number

1/1072 PCT-1-C1
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**Complete if Known**

Application Number

10/815,531

Filing Date

April 1, 2004

First Named Inventor	
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D. Hochrainer et al.

Art Unit	
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3727

Examiner Name
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To be assigned

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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 315 440 B1	05/10/1989	Merck & Co. Inc.		
		EP 0 812 625	12/17/1997	Tee Enterprises Limited		
		EP0322980	07/05/1989	Chemische Industrie Filiform B.V.		
		WO92/16439	10/01/1992	The Procter & Gamble Co.		
		WO97/18143	05/22/1997	Braintrust Ltd.		

**Examiner  
Signature**

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO  <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; font-size: small;">(Use as many sheets as necessary)</p>	<h3 style="text-align: center; margin: 0;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/815,531</td> </tr> <tr> <td>Filing Date</td> <td>April 1, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>D. Hochrainer et al.</td> </tr> <tr> <td>Art Unit</td> <td>3727</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>1/1072 PCT-1-C1</td> </tr> </table>	Application Number	10/815,531	Filing Date	April 1, 2004	First Named Inventor	D. Hochrainer et al.	Art Unit	3727	Examiner Name	To be assigned	Attorney Docket Number	1/1072 PCT-1-C1
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Sheet <span style="margin: 0 20px;">2</span> of <span>3</span>													

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		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0169501	01/29/1986	Henkel Kommanditgesellschaft auf Aktien		
		WO99/43571	09/02/1999	Boehringer Ingelehim International GmbH		
		WO 00/49988	08/31/2000	Boehringer Ingelehim International GmbH		
		WO 00/27543	05/18/2000	Boehringer Ingelehim International GmbH		

Examiner Signature	Date Considered
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 622 311	02/11/1994	Shinko Kagaku Kabushiki Kaisha		
		WO 96/03218	02/08/1996	Aromatik Limited		
		WO 97/26998	07/31/1997	Unilever PLC		
		WO 97/12687	04/10/1997	Boehringer Ingelheim International GmbH		

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